

09/14/99  
JC586 U.S. PTO

PATENT DOCKET NO.: POL 3.0-036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

James A. Westhoff

James A. Kelly

Serial No. n/a

Filed: herewith

Group Art Unit: n/a

Examiner: n/a

For: **MANHOLE STEP INSERT FOR PREVENTING SEEPAGE DURING  
MANUFACTURE OF A CAST MEMBER AND TO PROVIDE A STEP INSERT  
HAVING INCREASED STRUCTURAL AND HOLDING STRENGTH**

EXPRESS MAIL LABEL NO: EL265198943US

DATE OF DEPOSIT: 9/14/99

Box ☒ Patent Application  
☐ Provisional ☐ Design ☐ Sequence

Assistant Commissioner for Patents  
Washington DC 20231

Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find

☒ A Utility Patent Application.

If this is a continuing application, please check appropriate box:

☐ continuation ☐ divisional ☐ continuation-in-part of prior application  
number \_\_\_\_\_ filed on \_\_\_\_\_.

☐ A Provisional Patent Application.

☐ A Design Patent Application (submitted in duplicate).

A  
JC675 U.S. PTO  
09/395106  
09/14/99

09395106-091499

Including the following:

☐ Provisional Application Cover Sheet.

☒ New or Revised Specification, including pages 1 to 46 containing:

☒ Specification ( 30 pages)

☒ Claims ( 15 pages)

☒ Abstract ( 1 pages)

☐ A copy of earlier application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_ to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.

☐ Please enter the following amendment to the Specification under the Cross Reference to Related Applications section (or create such a section) : "This Application is a \_\_\_\_\_ continuation or \_\_\_\_\_ divisional of Application Serial No. filed \_\_\_\_\_."  
"

☐ Signed Statement attached deleting inventor(s) named in the prior application.

☐ A Preliminary Amendment.

☒ Nine (9) Sheets of ☒ Formal ☐ Informal Drawings.

☐ Petition to Accept Photographic Drawings.

☐ Petition Fee

☒ An ☒ Executed ☐ Unexecuted Declaration or Oath and Power of Attorney.

☐ An ☐ Executed ☐ Copy of Executed Assignment of the Invention to \_\_\_\_\_

☐ A Recordation Form Cover Sheet.

☐ Recordation Fee - \$40.00.

☐ Priority is claimed under 35 U.S.C. § 119 of application Serial No. \_\_\_\_\_ filed \_\_\_\_\_ in \_\_\_\_\_ (country).

0999106 " 90T55E60

\_\_\_\_\_ A Certified Copy of each of the following applications for which priority is claimed:

\_\_\_\_\_ is enclosed.

\_\_\_\_\_ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

X An X Executed \_\_\_\_\_ Unexecuted \_\_\_\_\_ Copy of Earlier Statement Claiming Small Entity Status under 37 C.F.R. 1.9 and 1.27

X is enclosed

\_\_\_\_\_ has been filed in prior application Serial No. \_\_\_\_\_ filed \_\_\_\_\_, said status is still proper and desired in present case.

X Priority is claimed under 35 U.S.C. § 120 of provisional application Serial No. 60/100,234 filed September 15, 1998.

\_\_\_\_\_ Information Disclosure Statement.

\_\_\_\_\_ Attached Form 1449.

\_\_\_\_\_ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

\_\_\_\_\_ A copy of Petition for Extension of Time as filed in the prior case.

\_\_\_\_\_ Appended Material as follows: \_\_\_\_\_.



Return Receipt Postcard (should be specifically itemized).

\_\_\_\_\_ Other as follows: \_\_\_\_\_

60160 "NOTES"

# FEE CALCULATION

				SMALL ENTITY		NOT SMALL ENTITY	
				RATE	FEE	RATE	FEE
PROVISIONAL APPLICATION				\$75.00	\$	\$150.00	\$
DESIGN APPLICATION				\$155.00	\$	\$310.00	\$
UTILITY APPLICATIONS BASE FEE				\$380.00	\$380	\$760.00	\$
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS							
		No. Filed	No. Extra				
	TOTAL CLAIMS	40 - 20 =	20	\$9 each	\$180	\$18 each	\$
	INDEP. CLAIMS	9 - 3 =	6	\$39 each	\$234	\$78 each	\$
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			\$130	\$	\$260	\$
ADDITIONAL FILING FEE					\$		\$
TOTAL FILING FEE DUE					\$794.00		\$

  X   A Check is enclosed in the amount of \$ 794.00 (Check No. 1902 ) .

☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-0815. This sheet is provided in duplicate.

☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-0815. This sheet is provided in duplicate.

The foregoing amount due.

☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

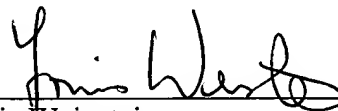
☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

The issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.

09/16/00 "90T360"

**SHOULD ANY DEFICIENCIES APPEAR** with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

WEINSTEIN & KIMMELMAN



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